

| APPLICANT INFORMATION   |  |                           |                  |  |             |            |                           |
|-------------------------|--|---------------------------|------------------|--|-------------|------------|---------------------------|
| Full Name of Applicant: |  |                           |                  |  |             |            |                           |
| Address:                |  |                           |                  |  |             |            |                           |
| Website Address:        |  |                           |                  |  |             |            |                           |
| Sepa                    | rately list and describe al  | I operations:             |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
| List s                  | tates in which the applica   | ant operates:             |                  |  |             |            |                           |
| Num                     | ber of years in business u   | under current name:       |                  |  |             |            |                           |
|                         |  |                           | OPER             | ATIONS                                 |             |            |                           |
| 1.                      | Percentage of<br>Operations as:  | General Contractor<br>%   | Su               | b-Contractor<br>%                      | Owner/Build | der<br>%   | Construction Manager<br>% |
| 2.                      | Does the insured provid  | de Architectural or Engir | neering [        | Design Services?                       |             |            | 🗌 Yes 🗌 No                |
| 3.                      | Does the insured provid  | de Construction Manage    | ement Se         | ervices?                               |             |            | 🗌 Yes 🗌 No                |
| 4.                      | Does the insured carry Carrier:  | Errors & Omissions Ins    |                  |  |             | 🗌 Yes 🗌 No |                           |
| 5.                      | Describe the types of projects in which the applicant specializes:             |                           |                  |  |             |            |                           |
| 6.                      | Describe any other projects the applicant has performed:                       |                           |                  |  |             |            |                           |
| 7.                      | Provide the following in   | formation on your 4 larg  | jest <b>curr</b> | ent projects:                          |             |            |                           |
|                         | Location   | \$ Value                  |                  | e Employees / Start Date ubcontractors |             | End Date   |                           |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
| 8.                      | Provide the following in   |                           |                  |  | -           |            | End Data                  |
|                         | Location   | \$ Value                  |                  | te Employees /<br>Subcontractors       | Start Date  | е          | End Date                  |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
|                         |  |                           |                  |  |             |            |                           |
| 9.                      | Does the insured perform any operation in the state of New York?  □ Yes □ No □ |                           |                  |  |             |            |                           |
|                         | If yes, please break out   |                           |                  |  |             |            |                           |
| 10                      | If yes, does the insured   | 1                         | s in the fi      | SUBCONTRAC                             | -           |            |                           |
| 10.                     | Next 12 mos.   | PAYROLL:                  |                  | SUBCONTRAC                             | SIED C0319: | \$         | ROSS RECEIPTS:            |
|                         | 1 <sup>st</sup> Prior Year:  | \$<br>\$                  |                  | \$                                     |             | э<br>\$    |                           |
|                         | 2 <sup>nd</sup> Prior Year:  | \$                        |                  | \$                                     |             | \$         |                           |
|                         | 3 <sup>rd</sup> Prior Year:  | \$                        |                  | \$                                     |             | \$         |                           |



|     | OPERATIONS (cont)   |  |               |              |  |  |
|-----|---|--|---------------|--------------|--|--|
| 11. | Detail the % of construction work performed by you. Total for all classifications (New and Renovation Combined) must equal 100%.      |  |               |              |  |  |
|     | Type of Construction % Nev  |  |               | % Renovation |  |  |
|     | Commercial (excluding the classes below):   |  |               | %            |  |  |
|     | Industrial:   |  |               | %            |  |  |
|     | Apartments – Frame Construction and/or Garden Style:  | Apartments – Frame Construction and/or Garden Style: |               |              |  |  |
|     | Apartments – High Rise – Commercial Grade Construction – Concrete & Steel:  |  | %             | %            |  |  |
|     | Condos/Townhouses – Frame Construction:   |  |               |              |  |  |
|     | Condos – High Rise – Commercial Grade Construction – Concrete & Steel:  |  | %             | %            |  |  |
|     | Single Family Homes – Multi-Unit Tract Homes and/or Residential Developments:   |  |               | %            |  |  |
|     | Single Family Homes – Custom Homes to Customer Specifications:  |  | %             | %            |  |  |
| 12. | Does the applicant do any work over two stories in height from grade (other than interior remodel only)?                              |  |               | Yes No       |  |  |
|     | If yes, please describe.  |  |               |              |  |  |
|     | If yes, Maximum Number of Stories: stories If yes, Percentage of  | Total Work:  |               | %            |  |  |
| 13. | Do you own or lease Heavy Equipment?  |  | 🗌 Own 🔄 Lease |              |  |  |
| 14. | If you own your equipment, do you rent this equipment to others?  |  |               | 🗌 Yes 🗌 No   |  |  |
| 15. | If you rent equipment to others, is this done with or without operators?  |  |               | th           |  |  |
| 16. | What are your annual receipts for rented equipment?   |  |               |              |  |  |
| 17. | Have you been involved or will you be involved with blasting operations or any other hazardous work activity? If yes, please explain. |  |               | s 🗌 No       |  |  |
| 18. | Do you perform or subcontract stucco/synthetic work (EIFS)? If yes, please explain  |  |               | s 🗌 No       |  |  |
| 19. | Have you built/demolished or will you build/demolish buildings or other structures in four (4) stories? If yes, please explain.       | excess of  | ☐ Yes         | s 🗌 No       |  |  |
| 20. | Have you been involved or will you or your subcontractors be involved in any removal of asbestos, PCB's or other hazardous materials? |  |               | s 🗌 No       |  |  |
| 21. | Do you perform any roofing work? If so, provide details of type of work done:   |  |               |              |  |  |
| 22. | Do you perform any Mold Remediation Work?   |  | 🗌 Yes         | s 🗌 No       |  |  |
|     | Do any of your subcontractors perform any Mold Remediation Work?  |  | 🗌 Yes         | s 🗌 No       |  |  |
|     | If Mold Remediation Work is Performed, is insurance coverage in place?  |  | Yes           | s 🗌 No       |  |  |



|     | OPERATIONS (cont)   |                      |
|-----|---|----------------------|
| 23. | Do you have any operations other than contracting? If yes, please explain.  | 🗌 Yes 🗌 No           |
|     |   |                      |
|     |   |                      |
|     |   |                      |
|     | Are these other operations to be covered by this insurance?   | Yes No               |
|     | If these operations that are "other than contracting" carry other insurance, provide details on th  | e policy – coverage, |
|     | limits, and name of insurer.  |                      |
|     |   |                      |
| 0.1 |   | Yes No               |
| 24. | Have you or will you in be involved of the construction of condominiums or townhouses?  |                      |
|     | If work is for condominiums or townhouses, is the work new construction?  |                      |
|     | If work is for condominiums or townhouses, is the work repair only?   |                      |
| 05  | How many units in the entire development?   |                      |
| 25. | How many new homes will you build as a general contractor in the next year?   |                      |
| 26. | What is the greatest number of new homes you have built in any one-year?  | ☐ Yes ☐ No           |
| 27. | Have you built or will you build on hillsides terraces, landfills or subsidence areas? If yes, please explain.  |                      |
|     |   |                      |
|     |   |                      |
| 28. | Will you be working in any new tract home developments?   | 🗌 Yes 🗌 No           |
| 29. | If you will be working in new tracts, list the maximum number of homes in the ENTIRE tract:   |                      |
|     | How many units in the entire development?   |                      |
| 30. | Have you or will you ever convert apartments to condominiums?   | 🗌 Yes 🗌 No           |
| 31. | Have there been any losses, claims or suits against you in the past five years?   | 🗌 Yes 🗌 No           |
| 32. | Are there any claims or legal actions pending against any of the entities named on this application?  | Yes No               |
| 33. | Do any of the entities named on this application have knowledge of any pre-existing act,  | 🗌 Yes 🗌 No           |
|     | omission, event, condition or damages to any person or property that may potentially give rise to any future claim or legal action against such entity? |                      |
| 34. | Have you been accused of faulty construction in the past 5 years?   | Yes No               |
|     |   |                      |
|     | SUBCONTRACTED EXPOSURES   |                      |
| 1.  | List the trades of subcontractors you use and give the percentage of work they perform:   |                      |
|     |   | %                    |
|     |   | %                    |
|     |   | %                    |
|     |   | %                    |
|     |   | %                    |
| 2.  | Do you require certificates of insurance for all subcontracted work?  | 🗌 Yes 🗌 No           |
|     | If Yes, How long do you maintain records?   |                      |
| 3.  | Do you obtain updated certificates for subcontracted work each year?  | Yes No               |



| 4. | What minimum limits of liability do you require that your subcontractors carry?      | \$         |
|----|--|------------|
| 5. | Are hold harmless agreements obtained from subcontractors in favor of the applicant? | 🗌 Yes 🗌 No |
| 6. | Is the applicant named as an additional insured on the subcontractor's policy?       | 🗌 Yes 🗌 No |
| 7. | Does the subcontractor carry workers compensation for all employees?                 | 🗌 Yes 🗌 No |

| LOSS CONTROL |   |                           |  |  |
|--------------|---|---------------------------|--|--|
| 1.           | Do you have a formal safety program in place?   | 🗌 Yes 📄 No                |  |  |
| 2.           | Do you review Motor Vehicle Records on prospective employees and annually thereafter?   | Yes No                    |  |  |
| 3.           | Do you have specific criteria that you use to determine acceptable/unacceptable-driving records?  | Yes No                    |  |  |
| 4.           | Does the insured have a Vehicle maintenance plan in place to address the following equipmen<br>Brakes<br>Steering<br>Tires<br>Electrical<br>Drivability | t?                        |  |  |
| 5.           | Please detail criteria used to determine acceptable/unacceptable-driving records?   |                           |  |  |
| 6.           | Explain how you handle employees with unacceptable driving records. i.e. Remove driving priprobationary period, etc.                                    | vileges, written warning, |  |  |

| COMMONLY USED DEFINITIONS: |   |  |  |  |
|----------------------------|---|--|--|--|
| EIFS:                      | Exterior Insulation Finishing Systems – multi layered exterior wall systems (which resemble stucco in appearance) that are used on both commercial buildings and residential homes.   |  |  |  |
| GENERAL<br>CONTRACTOR:     | A contractor who subcontracts work to others, exercises primary control of the job site, and is named in the construction documents as the general contractor of record.  |  |  |  |
| RESIDENTIAL<br>CONTRACTOR: | A contractor who performs work on single or multi unit-family housing, including condominiums and townhouses, planned unit developments and tract housing or similar planned communities. Most insurers consider <b>APARTMENTS</b> to be commercial construction, not residential construction. |  |  |  |
| SUBSIDENCE:                | Any movement of the land or earth including landslides, mudflow, earth sinking, rising and shifting, collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting and earthquake.  |  |  |  |
| TRACT HOUSING:             | Developments where the houses are similar in price, physical characteristics, lot size and square footage; numerous houses of similar or complementary design constructed on a given expense of land, by a single builder.  |  |  |  |

### WARRANTY

The purpose of the Supplemental Questionnaire is to assist in the underwriting process. Information contained herein is specifically relied upon in determination of insurability. The undersigned, therefore warrants the information contained herein (consisting of five pages) is true and accurate to the best of his knowledge, information and belief. The Supplemental Questionnaire, and the application to which it is appended, shall be the basis of any insurance policy that may be issued and will be part of such policy.



| Signature of<br>Applicant: |       |  |
|----------------------------|-------|--|
| Name & Title:              | Date: |  |